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**APPLICATION NUMBER: 11/035,266**

**FILING DATE: *January 12, 2005***

**RELATED PCT APPLICATION NUMBER: *PCT/US05/02717***



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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 2184P002  
First Inventor Stanton R. Canter  
Title ANCHORING ELEMENT FOR USE IN BONE  
Express Mail Label No. EV589924294US

112974 U.S. PTO  
11/03/05

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO:

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 26]  
(preferred arrangement set forth below)
  - Descriptive title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 6]
5. Oath or Declaration (signed) [Total Pages 2]
  - a. ☒ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d))  
(for continuation/divisional with Box 18 completed)
    - i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76
7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ paper
  - c. ☐ Statements verifying identity of above copies
9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 C.F.R. § 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment ☐ Application Amended to Reflect Claim of Priority
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(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
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16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i).  
Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No: \_\_\_\_\_

Prior application Information: Examiner \_\_\_\_\_

Group/Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can be relied upon when a portion has been inadvertently omitted from the submitted application parts.

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01/12/05

Effective 12/08/2004  
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# FEE TRANSMITTAL for FY 2005

## Complete if Known

Application Number  
Filing Date January 12, 2005  
First Named Inventor Stanton R. Canter  
Examiner Name  
Art Unit  
Attorney Docket No. 2184P002

☒ Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT (\$) 500.00

## METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ None ☐ Other (please identify):

☒ Deposit Account Deposit Account Number: 02-2666 Deposit Account Name: Blakely, Sokoloff, Taylor & Zafman LLP

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under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.

## FEE CALCULATION

### 1. BASIC FILING, SEARCH, AND EXAMINATION FEES

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fee(s) Paid (\$)
	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	
Utility	300	150	500	250	200	100	500.00
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

### 2. EXCESS CLAIM FEES

#### Fee Description

Each claim over 20 or, for Reissues, each claim over 20 and more than in the original patent

Each independent claim over 3 or, Reissues, each independent claim more than in the original patent

Multiple independent claims

Total Claims Extra Claims Fee (\$) Fee Paid Multiple Dependent Claims  
20 - 20 or HP = 0 X 25.00 = \$0.00 Fee (\$) Fee Paid

HP = highest number of total claims paid for, if greater than 20

Indep. Claims Extra Claims Fee (\$) Fee Paid  
3 - 3 or HP = 0 X 100.00 = \$0.00

HP = highest number of independent claims paid for, if greater than 3

### 3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets Extra Sheets Number of each additional 50 or fraction thereof Fee (\$) Fee Paid (\$)  
32 - 100 = 68 / 50 = (round up to a whole number) X =

### 4. OTHER FEE(S)

#### Fees Paid (\$)

Non-English Specification, \$130 fee (no small entity discount)

Other:

Recording of a patent assignment

5. TOTAL AMOUNT OF PAYMENT (\$) 500.00

## SUBMITTED BY

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Signature				Date	01/12/05

Atty Ref: 2184P002  
Express Mail No. EV589924294US

**UNITED STATES PATENT APPLICATION**  
**FOR**  
**ANCHORING ELEMENT FOR USE IN BONE**

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## ANCHORING ELEMENT FOR USE IN BONE

### CROSS-REFERENCE TO RELATED APPLICATION

**[0001]** This application claims the benefit of U.S. Provisional Patent Application No. 60/539,633 filed on January 28, 2004.

### BACKGROUND OF THE INVENTION

**[0002]** Anchoring elements are used in a variety of dental, medical, and surgical procedures where it is desired to embed a mechanical attachment into living bone. One example of a procedure that uses anchoring elements is dental prosthesis using embedded implants.

**[0003]** Dental implants provide a desirable prosthesis for patients who are missing one or more natural teeth. A dental implant includes an anchoring element that is embedded into the jawbone and a prosthetic tooth that is attached to and supported by the anchoring element. The prosthetic tooth may be attached directly to the anchoring element or an abutment fixture may be attached to the anchoring element and support the prosthetic tooth in turn. An appropriate anchoring element will support bone growth that invades the anchoring element such that the anchoring element becomes integrated with the surrounding bone in a process termed osseointegration.

**[0004]** An anchoring element to support a prosthetic tooth may be embedded in what is termed a two-stage procedure. In the first stage, the anchoring element is embedded into the jawbone and the surgical site is then closed. After a period of months the anchoring element will achieve osseointegration. The site of the anchoring element is then re-opened surgically to allow the attachment of a prosthetic tooth.

**[0005]** More recently techniques have been developed that permit a dental implant to be embedded in a one-stage procedure. In a one-stage procedure, the anchoring element is embedded and a prosthetic tooth is immediately fitted. The immediately fitted prosthetic tooth may be an interim prosthesis that allows the soft tissue to properly heal and maintains the spacing and alignment of adjacent teeth during the period of

osseointegration. A permanent prosthetic tooth may be fitted at a later date after at least some osseointegration has occurred without requiring an additional surgical procedure.

**[0006]** To achieve successful osseointegration it is necessary that the anchoring element fit closely into the surrounding bone, generally having a gap of no more than 1.5 millimeters. It is also important that the anchoring element not move relative to the surrounding bone during the period of osseointegration. Where the anchoring element cannot be closely fitted to the surrounding bone, it may be necessary to use bone-grafting materials to fill the space between the anchoring element and the surrounding bone.

**[0007]** Molars are commonly missing teeth. The use of an implant to replace a molar presents some special difficulties. Molars generally have multiple roots. The mandibular molars of the lower jaw generally have two roots. The maxillary molars of the upper jaw generally have three roots. In a fresh extraction site the void left by the molar roots presents a site that can be difficult to fit with an anchoring element. In addition, the bone in the molar region of the jaw generally consists of a thin hard layer of cortical bone surrounding a core of softer spongy cancellous bone. The cancellous bone may provide poor support for the anchoring element prior to osseointegration.

**[0008]** A molar is a relatively large tooth and it is desirable to use an anchoring element having a relatively large diameter to fill the void following an extraction. However, the use of a wide anchoring element may require that a significant amount of bone be removed from the extraction site to accommodate the anchoring element. This may leave only a small amount of cortical bone available to support the anchoring element which may be embedded in predominantly cancellous bone. In particular, the use of a wide anchoring element may require removal of the triangular mound-shaped mass of bone that is found between the roots known as the interradicular bone. Thus, it is difficult to place an anchoring element in a fresh molar extraction site with sufficient stability to allow embedding of a dental implant with a one-stage procedure.

**[0009]** Another circumstance that can present difficulties in placing an anchoring element for a dental implant occurs in cases where it is desired to place a dental prosthesis in a healed extraction site. When a molar is lost, the alveolar ridge that supports the teeth is fairly rapidly resorbed. This causes a loss of height of the jawbone in the area of tooth loss. When an anchoring element is to be embedded in a healed extraction site, it may not be possible to place an anchoring element to a very great depth.

**[0010]** In the lower jaw, the presence of the mandibular alveolar nerve in the lower jaw limits the depth of the anchoring element. In the upper jaw the maxillary sinus limits the depth. These limiting anatomical features may require the use of a short anchoring element, perhaps 5 to 8 millimeters in length. The use of short anchoring elements for such cases has a lower rate of long-term success because of the reduced surface area available for osseointegration.

**[0011]** It would be desirable to have an anchoring element that can be used to place a dental implant in the molar area both for fresh extraction sites and for healed sites.



## SUMMARY OF THE INVENTION

**[0012]** An anchoring element for use in bone has a proximal end and a distal end. The distal end may be embedded in bone with the proximal end exposed. An abutment surface is disposed toward the proximal end. The anchoring element includes four surfaces that define the distal end. A generally cylindrical first surface defines an exterior of the anchoring element. At least a portion of the first surface includes a first external thread having a pitch. An annular second surface is disposed toward the distal end and the first surface is joined to the second surface along an outer circumference of the second surface. A third surface concentric with the first surface is joined to the second surface along an inner circumference of the second surface. At least a portion of the third surface may include an internal thread having the pitch of the first external thread. A fourth surface disposed between the distal end and the proximal end of the first surface is joined to the third surface along an outer circumference of the fourth surface.

**[0013]** In another embodiment, an anchoring element includes six surfaces that define the distal end. At least a portion of a first surface includes a first external thread. An annular second surface is disposed toward the distal end and joined to the first surface along an outer circumference of the second surface. A third surface concentric with the first surface is joined to the second surface along an inner circumference of the second surface. An annular fourth surface is disposed between the distal end and the proximal end and joined to the third surface along an outer circumference of the fourth surface. A fifth surface concentric with the first surface is joined to the fourth surface along an inner circumference of the fourth surface. A sixth surface is disposed toward the distal end and joined to the fifth surface along an outer circumference of the sixth surface.

**[0014]** In another embodiment, an anchoring element for use in bone has a proximal end and a distal end. The distal end may be embedded in bone with the proximal end exposed. The anchoring element includes a generally cylindrical first surface, at least a portion of the first surface including an

external thread. An abutment surface is disposed at the proximal end of the anchoring element. The abutment surface is at an angle to an axis from the proximal end to the distal end of the anchoring element.

#### BRIEF DESCRIPTION OF THE DRAWINGS

- [0015] FIG. 1 is a pictorial view of an anchoring element for use in bone that embodies the invention.
- [0016] FIG. 2 is a plan view of the distal end of the anchoring element shown in Figure 1.
- [0017] FIG. 3 is a cross section of the anchoring element taken along the line 3—3 shown in Figure 2.
- [0018] FIG. 4 is a plan view of the distal end of the anchoring element of Figures 1 through 3.
- [0019] FIG. 5 is a side elevation of another anchoring element that embodies the invention.
- [0020] FIG. 6 is a plan view of the proximal end of the anchoring element of Figure 5.
- [0021] FIG. 7 is a cross section taken along section line 7—7 shown in Figure 6.
- [0022] FIG. 8 is a plan view of the proximal end of the anchoring element of Figures 5 through 7.
- [0023] FIG. 9 is a pictorial view of another anchoring element that embodies the invention.
- [0024] FIG. 10 is a plan view of the proximal end of the anchoring element of Figure 9.
- [0025] FIG. 11 is a cross section of the anchoring element taken along the line 11—11 shown in Figure 10.
- [0026] FIG. 12 is a plan view of the distal end of the anchoring element of Figures 9 through 11.
- [0027] FIG. 13 is a cross section of the anchoring element with the distal portion taken along the section line 13—13 shown in Figure 12 and the proximal portion taken along the section line 11—11 shown in Figure 10.

- [0028]** FIG. 14 is a cross section view of another anchoring element that embodies the invention.
- [0029]** FIG. 15 is a cross section of the anchoring element shown in Figure 14 with the distal portion sectioned similarly to the view of Figure 13.
- [0030]** FIG. 16 is a cross section of a mandibular molar extraction site.
- [0031]** FIG. 17 is a cross section of the extraction site shown in Figure 16.
- [0032]** FIG. 18 is a cross section similar to the view of Figure 16 showing the preparation of the extraction site for embedding an anchoring element.
- [0033]** FIG. 19 is a cross section of the upper portion of the extraction site shown in Figure 18.
- [0034]** FIG. 20 is a cross section of the lower portion of the extraction site shown in Figure 18.
- [0035]** FIG. 21 is a cross section of an anchoring element embedded in the extraction site prepared as shown in Figure 18.
- [0036]** FIG. 22 is a cross section of a first part of another anchoring element that embodies the invention.
- [0037]** FIG. 23 is a side view of a second part of the anchoring element.
- [0038]** FIG. 24 is a view of the second part shown in side view installed into the first part shown in cross section to form the anchoring element.
- [0039]** FIG. 25 is a view of an alternate position for the second part relative to the first part of the anchoring element shown in Figure 24.
- [0040]** FIG. 26 is a cross section of a first part and side view of a second part forming another anchoring element that embodies the invention.
- [0041]** FIG. 27 is a cross section of a first part and side view of a second part forming another anchoring element that embodies the invention.
- [0042]** FIG. 28 is a cross section of a first part of another anchoring element that embodies the invention.
- [0043]** FIG. 29 is a side view of a second part of the anchoring element.
- [0044]** FIG. 30 is a view of the second part shown in side view installed into the first part shown in cross section to make the complete anchoring element.
- [0045]** FIG. 31 is a cross section of another anchoring element that embodies the invention.

**[0046]** FIG. 32 is a cross section of another anchoring element that embodies the invention.

**[0047]** FIG. 33 is a cross section of another anchoring element that embodies the invention shown as it may be embedded in bone.

**[0048]** FIG. 34 is a side view of another anchoring element that embodies the invention.

**[0049]** FIG. 35 is a side view of another anchoring element that embodies the invention.

**[0050]** FIG. 36 is a cross section of another anchoring element that embodies the invention.

**[0051]** FIG. 37 is a cross section of another anchoring element that embodies the invention.

## DETAILED DESCRIPTION OF THE INVENTION

- [0052]** Figure 1 shows an anchoring element 100 for use in bone that embodies the invention. The anchoring element may be fabricated of any biocompatible material known or discovered to promote osseointegration such as titanium or a titanium alloy. The portions of that are to be in intimate contact with bone can display various surface characteristics. These portions can be titanium plasma sprayed, coated with hydroxy-apatite (HA coated), surface machined, sand blasted, or acid etched to promote osseointegration.
- [0053]** For convenience in description, the anchoring element 100 will be described with reference to a distal end and a proximal end. The distal end is shown in Figure 1 as the end to the lower right and is the end that is embedded to the greatest depth in bone. The proximal end is the end to the upper right and is the end that may be exposed when the anchoring element 100 is embedded in bone.
- [0054]** The anchoring element 100 includes a first surface that is a generally cylindrical exterior surface of the anchoring element. The term "generally cylindrical" is used to describe a surface that is substantially rotationally symmetric about an axis that extends from the distal end to the proximal end. The first surface may include a screw thread 112. It will be appreciated that a screw thread is not rotationally symmetric in the strictest sense. A screw thread or similar feature is intended to be included by the term "substantially rotationally symmetric." The exterior surface of the anchoring element 100 may include features such as the aforementioned screw thread and other features such as shoulders 102, tapered portions 110, and the like, all of which are intended to be included by the term "generally cylindrical."
- [0055]** At least a portion of the first surface of the anchoring element 100 may include a first external thread 112 having a pitch. The thread 112 may be of any of a variety of forms known or discovered to be effective for embedding the anchoring element in bone. The thread 112 may include self-threading (thread cutting) features or other features that aid in embedding the anchoring element into bone or that promote osseointegration.

- [0056]** The first surface may include a frustrated first conical portion 110 adjacent the distal end of the anchoring element 100 such that a smaller circumference of the first conical portion is adjacent the distal end. The first conical portion 110 may facilitate placing the anchoring element 100 into a hole that is prepared in the bone to receive the anchoring element. The first conical portion 110 may provide a somewhat smaller leading distal end that allows the anchoring element 100 to initially engage the bone with minimal force and align the anchoring element to the hole that has been prepared in the bone.
- [0057]** The first conical portion 110 may be unthreaded or may partially or fully intersect the first external thread 112. In one embodiment the first conical portion 110 may have a smallest diameter at the distal end of the anchoring element 100 that is approximately a root diameter of the first external thread. The first conical portion 110 may gradually increase in diameter toward the proximal end thereby providing a gradually increasing thread depth.
- [0058]** The anchoring element 100 may include an abutment surface disposed toward the proximal end of the first surface. The abutment surface may be of any of a variety of known or discovered forms that permit attachment to the anchoring element.
- [0059]** Figures 1 through 3 illustrate an abutment surface that may be provided to receive a dental prosthesis. The illustrated abutment surface includes an external hex surface 104 that may provide a wrenching surface for embedding the anchoring element 100. The illustrated abutment surface further includes a locating recess 106 and an internal anchoring thread 108 to receive a devices that is coupled to the anchoring element by a screw.
- [0060]** The anchoring element 100 includes an annular second surface 120 disposed toward the distal end of the first surface and joined to the first surface along an outer circumference 122 of the second surface. The second surface 120 may engage the bottom of the hole that has been prepared in the bone and thereby contribute significantly to the stability of the embedded anchoring element 100. The second surface 120 may be substantially flat or may have a rounded or other shape chosen to effectively

cooperate with the bottom of the hole that has been prepared in the bone to provide initial stability.

**[0061]** As may be seen in the plan view of the distal end, the anchoring element 100 includes a third surface 140 concentric with the first surface and joined to the second surface 120 along an inner circumference 124 of the second surface. At least a portion of the third surface 140 may include an internal thread having the pitch of the first external thread such that both threads will advance the anchoring element 100 at the same rate as it is rotated to embed the anchoring element into bone. The internal thread may be of any of a variety of forms known or discovered to be effective for embedding the anchoring element in bone. The internal thread may include self-threading (thread cutting) features or other features that aid in embedding the anchoring element into bone or that promote osseointegration. It is contemplated that the hole in the bone that is prepared to receive the anchoring element 100 may leave residual bone in place such that the third surface 140 will at least partially engage the bone to provide additional initial stability and an additional surface for osseointegration.

**[0062]** The third surface 140 may include a frustrated second conical portion adjacent the distal end such that a larger circumference of the second conical portion is adjacent the distal end. The second conical portion may facilitate placing the anchoring element 100 into the portion of hole that includes residual bone. The second conical portion may compress the residual bone as the anchoring element 100 is embedded to further increase initial stability.

**[0063]** The second conical portion may be unthreaded or may partially or fully intersect the first internal thread. In one embodiment the second conical portion may have a largest diameter at the distal end of the anchoring element 100 that is approximately a root diameter of the first internal thread. The second conical portion may gradually increase in diameter toward the proximal end thereby providing a gradually increasing thread depth.

**[0064]** The anchoring element 100 includes a fourth surface 130 disposed between the distal end and the proximal end and joined to the third surface 140 along an outer circumference of the fourth surface 132. It is

contemplated that the hole in the bone that is prepared to receive the anchoring element 100 may leave an upper surface on the residual bone such that the fourth surface 130 will engage the upper surface to provide additional initial stability and an additional surface for osseointegration.

**[0065]** Figure 3 is a cross-section of the anchoring element 100 taken along a diameter as shown by the section line 3--3 in Figure 2. Figure 3 may aid in visualizing the relationships between the various surfaces of the anchoring element 100. Figure 3 may also aid in visualizing a possible interaction of the conical sections with the threads at the distal end.

**[0066]** Figures 5 through 8 illustrate another anchoring element 200 that embodies the invention. This embodiment includes many features that are similar to the anchoring element 100 illustrated by Figures 1 through 4. Similar features for anchoring element 200 have been given reference numerals that are the reference numerals used for anchoring element 100 increased by 100.

**[0067]** In this embodiment the anchoring element 200 includes an abutment surface 204 in the form of a frustrated square pyramid. The abutment surface 204 may provide a wrenching surface. If the anchoring element is used to support a dental prosthesis, a prosthetic tooth may be directly coupled to the abutment surface 204 such as by cement.

**[0068]** Figure 7 shows a cross section of the anchoring element 200 taken along the section line 7--7 as shown in the plan view of the proximal end of Figure 6. The first surface may be a slightly larger in diameter than the third surface. It may be seen in Figure 7 that there will be a comparatively thin cross-section where the roots of the external thread 212 coincide with the internal thread. It may be advantageous to arrange the internal threads so that the roots coincide with the crest of the external thread to avoid a thin cross section.

**[0069]** The anchoring element 200 may be relatively short such that if the first and/or third generally cylindrical surfaces include a conical section, the conical section may occupy all or substantially all of the first and/or third surfaces.



**[0070]** Figures 9 through 13 illustrate another anchoring element 300 that embodies the invention. This embodiment includes many features that are similar to the anchoring element 100 illustrated by Figures 1 through 4. Similar features for anchoring element 300 have been given reference numerals that are the reference numerals used for anchoring element 100 increased by 200.

**[0071]** Figures 10 and 11 illustrate an abutment surface that may be provided to receive a dental prosthesis. The illustrated abutment surface includes a lobed locating recess 306 and an internal anchoring thread 308 to receive a device that is coupled to the anchoring element 300 by a screw. The lobes of the locating recess 306 may prevent rotation of a coupled device and may provide a wrenching surface for embedding the anchoring element 300.

**[0072]** In this embodiment the anchoring element the fourth surface 330 is annular. The anchoring element further includes a fifth surface 350 concentric with the first surface and joined to the fourth surface 330 along an inner circumference 334 of the fourth surface. A sixth surface 360 is disposed toward the distal end of the first surface and joined to the fifth surface 350 along an outer circumference 362 of the sixth surface.

**[0073]** The fifth surface 350 may include a second external thread on all or part of the fifth surface. The second external thread has the pitch of the first external thread such that all threads will advance the anchoring element 300 at the same rate as it is rotated to embed the anchoring element into bone. The second external thread may be of any of a variety of forms known or discovered to be effective for embedding the anchoring element in bone. The second external thread may include self-threading (thread cutting) features or other features that aid in embedding the anchoring element into bone or that promote osseointegration. It is contemplated that the hole in the bone that is prepared to receive the anchoring element 300 may provide a secondary hole in the residual bone such that the fifth surface 350 will at least partially engage the bone to provide additional initial stability and an additional surface for osseointegration.

**[0074]** The fifth surface 350 may include a frustrated third conical portion adjacent the distal end such that a smaller circumference of the third conical portion is adjacent the distal end. The third conical portion may be unthreaded or may partially or fully intersect the second external thread on the fifth surface 350. In one embodiment the third conical portion may have a smallest diameter at the distal end of the anchoring element 300 that is approximately a root diameter of the second external thread. The third conical portion may gradually increase in diameter toward the proximal end thereby providing a gradually increasing thread depth. The second and third conical sections may act to compress the residual bone between the third and fifth generally cylindrical surfaces.

**[0075]** Figure 13 is a cross-section view of anchoring element 300 shown in Figures 9-12 where the lower part of the cross-section is taken along section line 13--13 shown in Figure 12 so that the fifth surface is not cut by the cross-section and the fifth surface may be seen. The portion of the cross-section between the fourth surface 330 and the proximal end is taken along section line 11--11 shown in Figure 10.

**[0076]** Figures 14 and 15 illustrate another anchoring element 400 that embodies the invention. This embodiment includes many features that are similar to the anchoring element 300 illustrated by Figures 9 through 13. Similar features for anchoring element 400 have been given reference numerals that are the reference numerals used for anchoring element 300 increased by 100.

**[0077]** In this embodiment the anchoring element 400 the first surface includes a shoulder surface 402 in the form of a frustrated conical section. Such a conical shoulder is intended to be included by the term "generally cylindrical." The anchoring element 400 may be relatively short such that an internal anchoring thread 408 extends into the portion of the anchoring element 400 defined by the fifth surface 450.

**[0078]** Figures 16 through 20 illustrate an exemplary use of an anchoring element 500 that embodies the invention to provide a dental prosthesis for an extracted molar tooth.

**[0079]** Figure 16 shows a cross-section of a socket following the extraction of a mandibular molar having two roots. The cross-section is taken along a section line that is mesiodistal (running from the front to the back of the head). The socket includes the interradicular bone 510 which separated the roots of the extracted molar.

**[0080]** Figure 17 shows a cross-section of the socket viewed from the top and looking down into the socket. An outline of the cervical collar 512 taken along section line 17A--17A in Figure 16 is shown. An outline of each of the two roots 514, 516 taken along section line 17B--17B in Figure 16 is shown.

**[0081]** Figure 18 shows a cross-section of a prepared molar socket which is the socket of Figure 16 after a hole has been prepared to receive the anchoring element. Figure 19 is a top down cross-section of the prepared molar socket at the cervical collar 512 taken along section line 19--19 in Figure 18. It may be seen the prepared hole 520 may not remove all of the cervical collar 512. Generally it is desirable to provide a large periphery of contact between the anchoring element 500 and the cervical collar 512 to provide good initial stability. However, it is also desirable to preserve as much bone as possible consistent with preparing a hole that will provide good initial stability and good osseointegration. In particular, the bone may have a relatively thin and hard cortical layer surrounding a softer inner core of cancellous bone. It is desirable preserve the cortical bone which will provide much more initial stability than the spongy cancellous bone.

**[0082]** The anchoring element 500 may be sized to come within at least 1.5 millimeters of all parts of the socket so that osseointegration will occur. The anchoring element 500 may be sized so that about 75% or more of the periphery at the cervical collar is in direct contact with the anchoring element to provide good initial stability.

**[0083]** Figure 20 shows a cross-section of the socket of Figure 16 after a hole has been prepared to receive the anchoring element. Figure 20 is a top down cross-section of the prepared hole at the roots 514, 516 taken along section line 20--20 in Figure 18. It may be seen the prepared hole 522 may not remove all of the socket that surrounded the roots. It may also be seen

that a substantial portion of the interradicular bone 510 is preserved as residual bone in the prepared molar socket. The distal portion of the prepared hole 522 includes an annular region 524 to receive the portion of the anchoring implant between the first surface 501 and third surface 503. The second surface 502 of the anchoring element 500 may bear against the bottom surface 524 of the molar socket 522 to increase initial stability of the anchoring element.

**[0084]** The bottom surfaces 530, 532, 534 of the prepared hole are also significant. It is desirable that the bottom surfaces support a significant portion of one or more of the second surface 502, fourth surface 504, and sixth surface 506 (if present) of the anchoring element 500. For this reason, the hole may be prepared with bottom surfaces 530, 534 that are below the lowest point of the roots if permitted by other anatomical structures such as the mandibular nerve 518 or maxillary sinus. A flat surface 532 may be prepared on the proximal portion of the residual interradicular bone 510 to support the fourth surface 504. The flat surface 532 on the proximal portion of the residual interradicular bone 510 may be generally parallel to the bottom surface 530 of the prepared molar socket 500.

**[0085]** The first surface 501 of the anchoring element 500 is adapted to threadedly engage the outer wall 522 of the molar socket. The first surface 501 may include a frustrated first conical portion adjacent the second surface 502 such that a smaller circumference of the first conical portion is adjacent the second surface. The conical surface may aid in placing the anchoring element into the prepared molar socket. The conical surface may compress the bone surrounding the outer wall of the prepared molar socket, particularly in the upper portion adjacent the cervical collar, which may increase bone density and support for the anchoring element.

**[0086]** The third surface 503 of the anchoring element 500 is adapted to bear against a first surface 511 of the interradicular bone 510 that generally faces the outer wall 522 of the molar socket. The third surface 503 may have a frustrated conical form such that it may compress the interradicular bone, particularly in the upper portion, which may increase bone density and

support for the anchoring element 500. The third surface 503 may include screw threads, which may be self-cutting threads, for threadedly engaging the first surface 511 of the interradicular bone 510.

**[0087]** The anchoring element 500 may include a fifth surface 505. The prepared molar socket may therefore include a secondary hole 526 in the residual interradicular bone 510 to receive the fifth surface 505. The fifth surface may bear against a side wall of the secondary hole. The fifth surface 505 may have a frustrated conical form such that it may compress the interradicular bone, particularly in the upper portion, which may increase bone density and support for the anchoring element 500. The fifth surface 505 may include screw threads, which may be self-cutting threads, for threadedly engaging the side wall of the secondary hole 526.

**[0088]** Figures 22 through 25 show another anchoring element 600 that embodies the invention. A first part 610 includes a first surface 601, a second surface 602, a third surface 603, and a fourth surface 604. A second part 620 includes a fifth surface 605 and a sixth surface 606. The fifth surface 605 is joined to the fourth surface 604 by screwing the second part 620 into the first part 610. The resulting assembly provides an anchoring element 600 that has similar features to the anchoring element 300 shown in Figure 9. It may be possible to adjust the position of the sixth surface 606 relative to the second surface 602 as shown by Figures 24 and 25.

**[0089]** Figure 26 shows another anchoring element 700 that embodies the invention. A second part 720 is screwed into a first part 710 to provide an anchoring element 700 that has similar features to the anchoring element 400 shown in Figure 14. The second part 720 may be shaped so that it can be screwed into an interference fit with the first part 710 such that the anchoring element 700 functions as a single piece after assembly.

**[0090]** Figure 27 shows another anchoring element 800 that embodies the invention. A second part 820 passes through an unthreaded opening 814 in a first part 810 to provide an anchoring element 800 that has similar features to the anchoring element 400 shown in Figure 14. The second part 820 may include a head surface 822 that bears against a shoulder surface 812 on the

first part when both parts are embedded in bone. When both parts are assembled into an anchoring element 800 by being embedded in bone, the second part 820 may be adjusted to change the distally directed pressure of the first part 810 against the bone.

**[0091]** Figures 28 through 30 show another anchoring element 900 that embodies the invention. A first part 910 includes a first surface 901, a second surface 902, and a third surface 903. The third surface 903 extends from the distal end to the proximal end providing a through passage that includes an internal thread for at least a portion of the third surface. A second part 920 includes a fourth surface 904, a fifth surface 905, and a sixth surface 906. The second part 920 further includes a joining surface 922 that is generally cylindrical and concentric with the fifth surface 905. At least a portion of the joining surface may include an external thread that mates with at least a portion of the threaded third surface 903 of the first part 910. The third surface 903 is joined to the fourth surface 904 by screwing the second part 920 into the first part 910. The resulting assembly provides an anchoring element 900 that has similar features to the anchoring element 300 shown in Figure 9.

**[0092]** Figure 31 shows another anchoring element 1000 that embodies the invention. This embodiment includes a first surface 1001, a second surface 1002, a third surface 1003, a fourth surface 1004, a fifth surface 1005, and a sixth surface 1006 similarly arranged to previously described embodiments. In this embodiment the second surface 1002, fourth surface 1004, and sixth surface 1006 may be curved. In this embodiment, only the first surface 1001 may include a threaded portion. The fifth surface 1005 may be a right cylinder such that this portion of the anchoring element 1000 may fit into a pilot hole that may have been used to guide the preparation of the bone to receive the anchoring element. The second surface 1002 may include a conical portion to provide a close fit to residual bone.

**[0093]** Figure 32 shows another anchoring element 1100 that embodies the invention. This embodiment includes a first surface 1101, a second surface 1102, a third surface 1103, a fourth surface 1104, a fifth surface 1105, and a

sixth surface 1106 similarly arranged to previously described embodiments. The anchoring element 1100 of this embodiment is generally similar to the anchoring element 1000 of Figure 31. The fifth surface 1105 and the second surface 1102 may include conical portions to provide a close fit to residual bone.

**[0094]** Figure 33 shows another anchoring element 1200 that embodies the invention. Figure 33 is a cross-section of the anchoring element 1200 embedded in the posterior portion of a mandible along section line in a bucco-lingual (cheek to tongue) direction. This embodiment includes an abutment surface 1210 that is at an angle to an axis from the proximal end to the distal end of the first surface. The abutment surface 1210 may be substantially flat in the area adjacent the proximal end of the first surface 1201. As shown in Figure 33, when an anchoring element is embedded in a healed molar extraction site, resorption of the bone may cause the site to be shallow with a surface that may be higher on one side 1220 than the other 1222, generally being lower to the buccal (cheek) side 1222. It may be seen that the angled abutment surface 1210 allows the first surface 1201 to have greater contact with the bone without leaving a portion of the anchoring element 1201 exposed above the bone.

**[0095]** Figure 34 shows another anchoring element 1300 that includes an abutment surface 1310 that is at an angle to an axis from the proximal end to the distal end of the first surface.

**[0096]** Figure 35 shows another anchoring element 1400 that includes an abutment surface 1410 that is at an angle to an axis from the proximal end to the distal end of the first surface. In this embodiment the threaded portion of the first surface may extend to the abutment surface which may increase the threaded engagement of the anchoring element 1400 to bone.

**[0097]** Figure 36 shows another anchoring element 1500 that embodies the invention. This embodiment includes many features that are similar to the anchoring element 200 illustrated by Figures 5 through 8. Similar features for anchoring element 1500 have been given reference numerals that are the reference numerals used for anchoring element 200 increased by 1300. The

anchoring element 1500 includes a fourth surface 1530 disposed between the distal end and the proximal end and joined to the third surface 1540. The fourth surface 1530 is in the form of a frustrated cone. It is contemplated that the hole in the bone that is prepared to receive the anchoring element 1500 may leave an upper surface on the residual interradicular bone such that the fourth surface 1530 will engage the upper surface to provide additional initial stability and an additional surface for osseointegration. The conical shape of the fourth surface may allow the residual interradicular bone to be selectively compressed as determined by how far into the molar socket the anchoring element 1500 is advanced. This may allow a greater degree of compression to be applied when the residual interradicular bone is soft and spongy.

**[0098]** Figure 37 shows another anchoring element 1600 that embodies the invention. This embodiment includes many features that are similar to the anchoring element 200 illustrated by Figures 5 through 8. Similar features for anchoring element 1600 have been given reference numerals that are the reference numerals used for anchoring element 200 increased by 1400. The anchoring element 1600 includes a fourth surface 1630 disposed between the distal end and the proximal end and joined to the third surface 1640. The fourth surface 1630 is in the form of a convex curved surface. It is contemplated that the hole in the bone that is prepared to receive the anchoring element 1600 may leave an upper surface on the residual interradicular bone such that the fourth surface 1630 will engage the upper surface to provide additional initial stability and an additional surface for osseointegration. The convex shape of the fourth surface may allow the residual interradicular bone to be selectively compressed as determined by how far into the molar socket the anchoring element 1600 is advanced. This may allow a greater degree of compression to be applied when the residual interradicular bone is soft and spongy.

**[0099]** While certain exemplary embodiments have been described and shown in the accompanying drawings, it is to be understood that such embodiments are merely illustrative of and not restrictive on the broad invention, and that this invention not be limited to the specific constructions



and arrangements shown and described, since various other modifications may occur to those ordinarily skilled in the art. It is to be understood that individual features shown or described for one embodiment may be combined with individual features shown or described for another embodiment. It is to be understood some features are shown or described to illustrate the use of the invention in the context of functional anchoring elements and such features may be omitted within the scope of the invention.

## CLAIMS

What is claimed is:

1. An anchoring element for use in bone, the anchoring element comprising:  
a first surface having a distal end and a proximal end, at least a portion of  
the first surface including a first external thread having a pitch;  
an abutment surface disposed toward the proximal end of the first surface;  
an annular second surface disposed toward the distal end of the first  
surface and joined to the first surface along an outer circumference  
of the second surface;  
a third surface concentric with the first surface and joined to the second  
surface along an inner circumference of the second surface; and  
a fourth surface disposed between the distal end and the proximal end of  
the first surface and joined to the third surface along an outer  
circumference of the fourth surface.
2. The anchoring element of claim 1 wherein the first surface includes a  
frustrated first conical portion adjacent the distal end such that a smaller  
circumference of the first conical portion is adjacent the distal end.
3. The anchoring element of claim 1 wherein the third surface includes a  
frustrated second conical portion adjacent the distal end such that a larger  
circumference of the second conical portion is adjacent the distal end.
4. The anchoring element of claim 1 wherein at least a portion of the third  
surface includes an internal thread having the pitch of the first external  
thread.
5. The anchoring element of claim 4 wherein the internal thread is self-  
threading.
6. The anchoring element of claim 1 wherein the fourth surface is annular,  
the anchoring element further comprising:

a fifth surface concentric with the first surface and joined to the fourth surface along an inner circumference of the fourth surface; and  
a sixth surface disposed toward the distal end of the first surface and  
joined to the fifth surface along an outer circumference of the sixth surface.

7. The anchoring element of claim 6 wherein the fifth surface includes a frustrated third conical portion adjacent the distal end such that a smaller circumference of the third conical portion is adjacent the distal end.
8. The anchoring element of claim 6 wherein the first surface, the second surface, the third surface, and the fourth surface are on a first part, the fifth surface and the sixth surface are on a second part, and the fifth surface is placed adjacent to the fourth surface by passing the second part through the first part.
9. The anchoring element of claim 6 wherein the first surface, the second surface, and the third surface are on a first part, the fourth surface, the fifth surface, and the sixth surface are on a second part, and the fourth surface is placed adjacent to the third surface by passing the second part through the first part.
10. The anchoring element of claim 6 wherein at least a portion of the third surface includes a first internal thread having the pitch of the first external thread and at least a portion of the fifth surface includes a second external thread having the pitch of the first external thread.
11. The anchoring element of claim 1 wherein the abutment surface is at an angle to an axis from the proximal end to the distal end of the first surface.
12. An anchoring element suitable for placement in a prepared molar socket that includes interradicular bone, the anchoring element comprising:  
abutment means for receiving a dental prosthesis;  
first means for threadedly engaging an outer wall of the molar socket;

second means for bearing against a bottom surface of the molar socket;  
and  
third means for bearing against a first surface of the interradicular bone  
that generally faces the outer wall of the molar socket.

13. The anchoring element of claim 12 wherein the first means includes a frustrated first conical portion adjacent the second means such that a smaller circumference of the first conical portion is adjacent the second means.
14. The anchoring element of claim 12 wherein the third means is further for compressing the interradicular bone.
15. The anchoring element of claim 12 wherein the third means is further for threadedly engaging the first surface of the interradicular bone.
16. The anchoring element of claim 15 wherein the third means is further for cutting a thread in the first surface of the interradicular bone.
17. The anchoring element of claim 12 further comprising:  
fifth means for bearing against a side wall of a hole prepared in the  
interradicular bone.
18. The anchoring element of claim 17 wherein the fifth means is further for compressing the interradicular bone.
19. The anchoring element of claim 17 wherein the fifth means is further for threadedly engaging the side wall of the hole in the interradicular bone.
20. A method for placing an anchoring element to receive a dental prosthesis in a molar socket that includes interradicular bone, the method comprising:  
cutting a generally cylindrical outer wall in the molar socket to receive a  
first surface of the anchoring element, the first surface having a  
distal end and a proximal end, at least a portion of the first surface  
including a first external thread having a pitch;

cutting a generally annular flat surface in the molar socket at a distal end of the cylindrical outer wall to receive an annular second surface of the anchoring element, the second surface being disposed toward the distal end of the first surface and joined to the first surface along an outer circumference of the second surface;

cutting a generally cylindrical surface on the interradicular bone that generally faces the outer wall of the molar socket to receive a third surface of the anchoring element, the third surface being concentric with the first surface and joined to the second surface along an inner circumference of the second surface;

placing the anchoring element into the molar socket by screwing the first surface into the outer wall of the molar socket until the second surface of the anchoring element bears against the annular flat surface in the molar socket and the third surface of the anchoring element bears against the cylindrical surface on the interradicular bone.

## ABSTRACT

An anchoring element for use in bone has a proximal end and a distal end. The distal end may be embedded in bone with the proximal end exposed. An abutment surface is disposed toward the proximal end. The anchoring  
5 element includes four surfaces that define the distal end. A generally cylindrical first surface defines an exterior of the anchoring element and includes a first external thread having a pitch. An annular second surface is disposed toward the distal end and joined to the first surface along an outer circumference of the second surface. A third surface concentric with the first  
10 surface is joined to the second surface along an inner circumference of the second surface. A fourth surface disposed between the distal end and the proximal end of the first surface is joined to the third surface along an outer circumference of the fourth surface.

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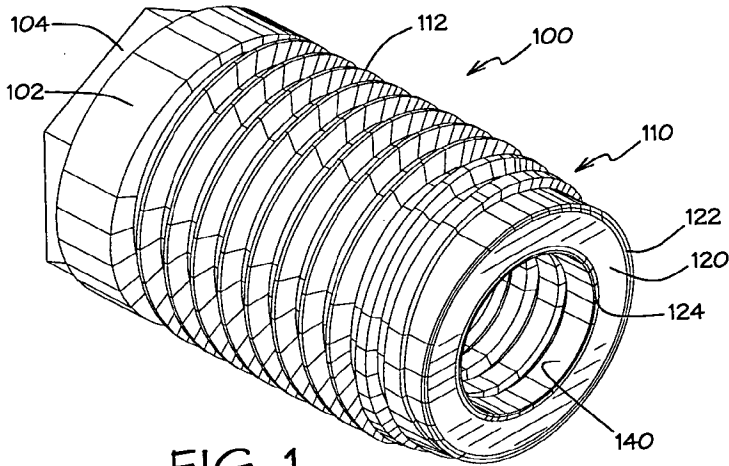


FIG. 1

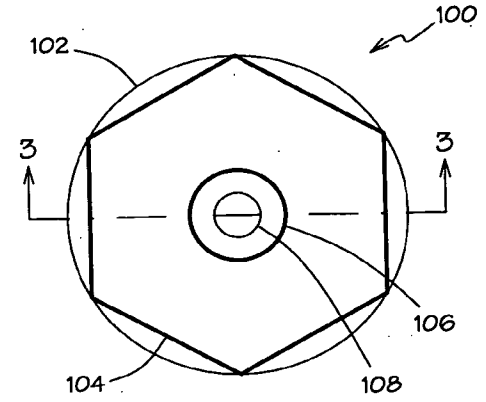


FIG. 2

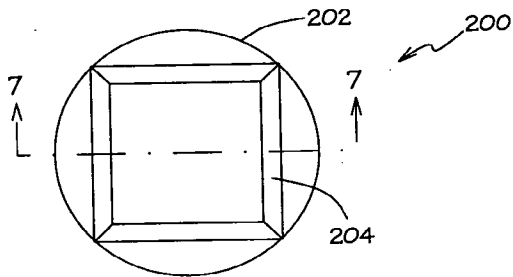


FIG. 6

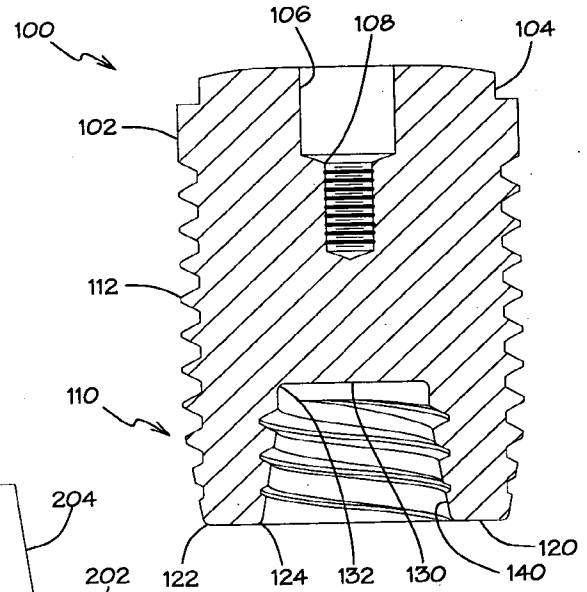


FIG. 3

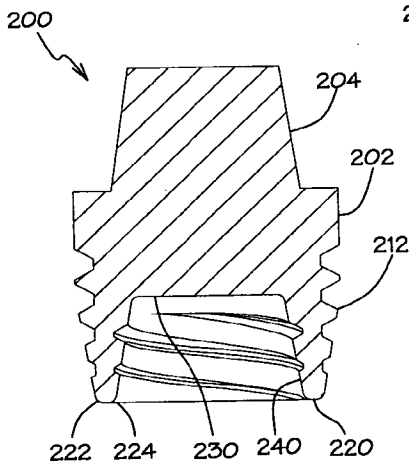


FIG. 7

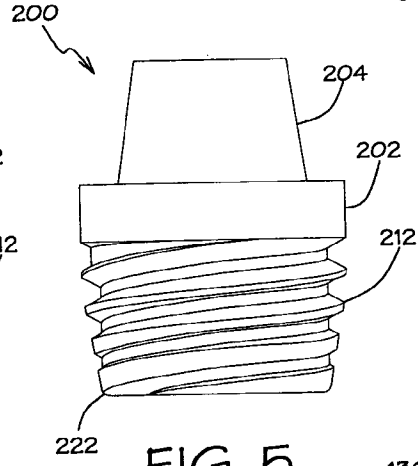


FIG. 5

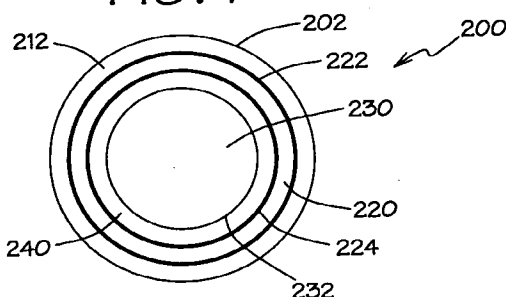


FIG. 8

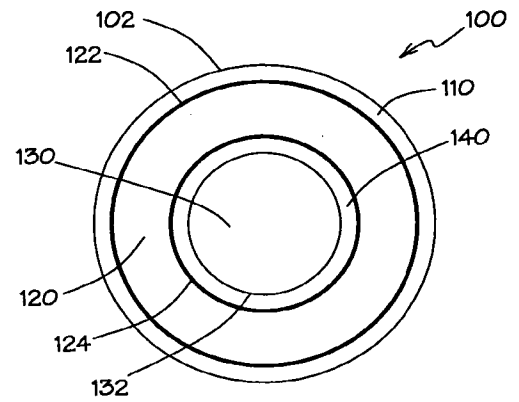


FIG. 4

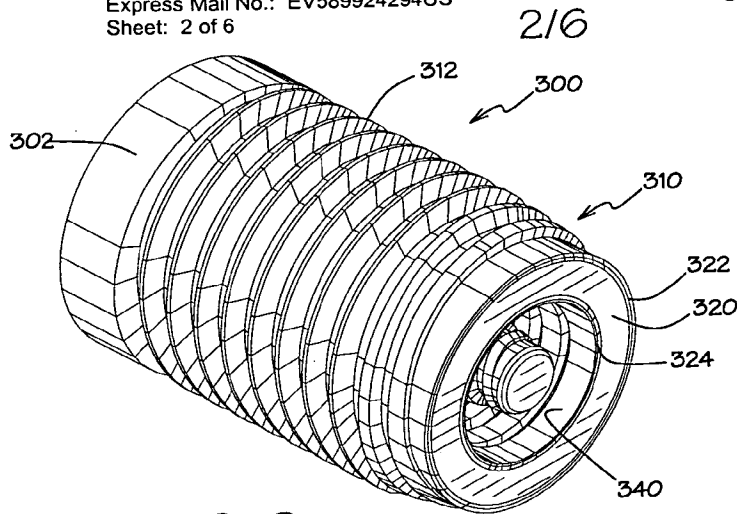


FIG. 9

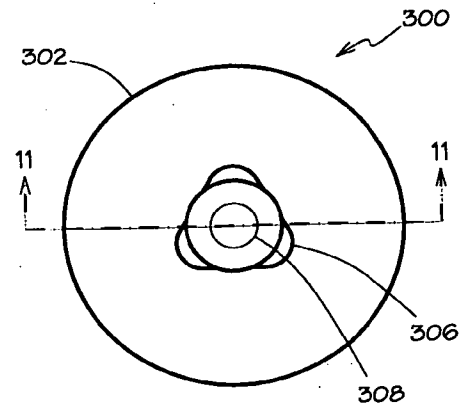


FIG. 10

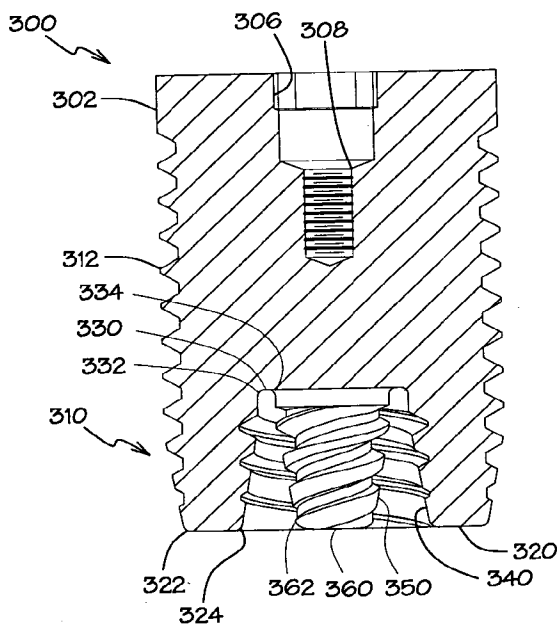


FIG. 13

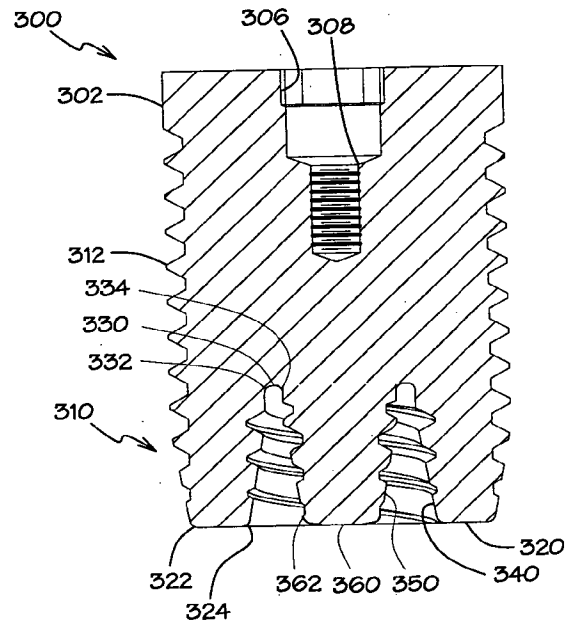


FIG. 11

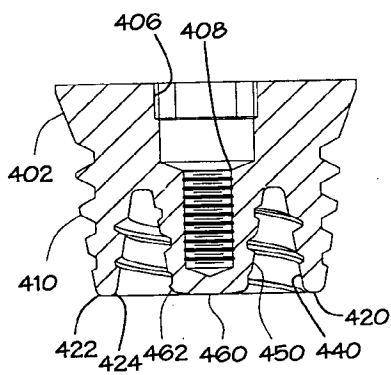


FIG. 14

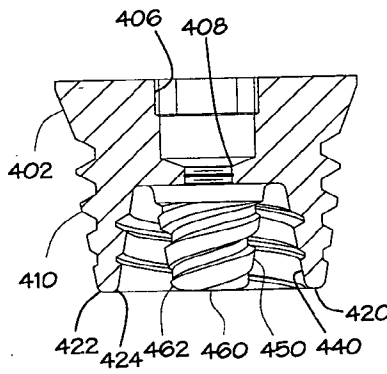


FIG. 15

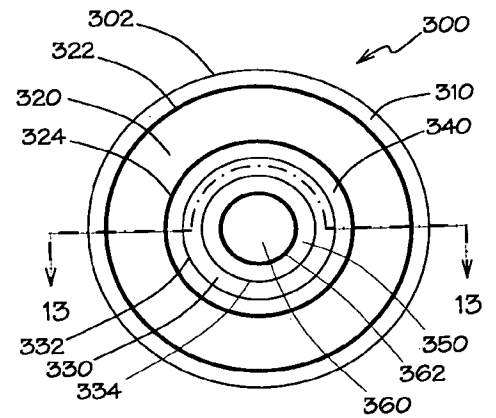


FIG. 12



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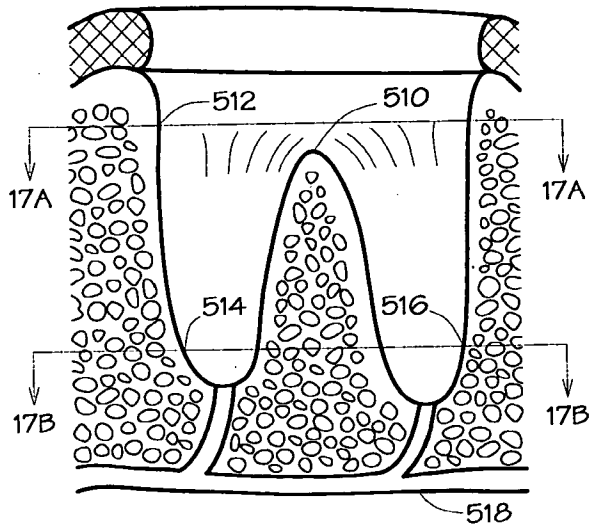


FIG. 16

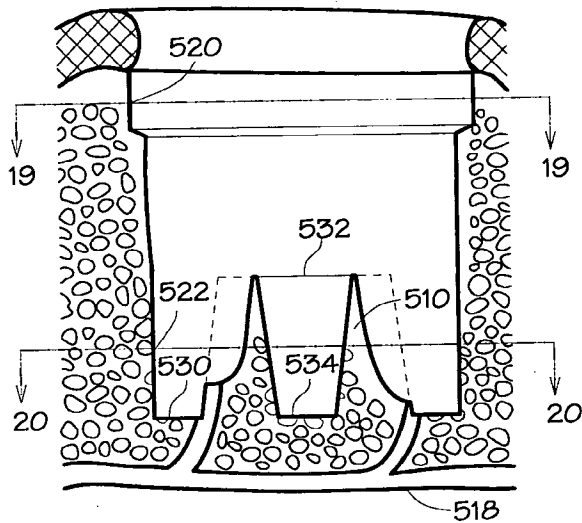


FIG. 18

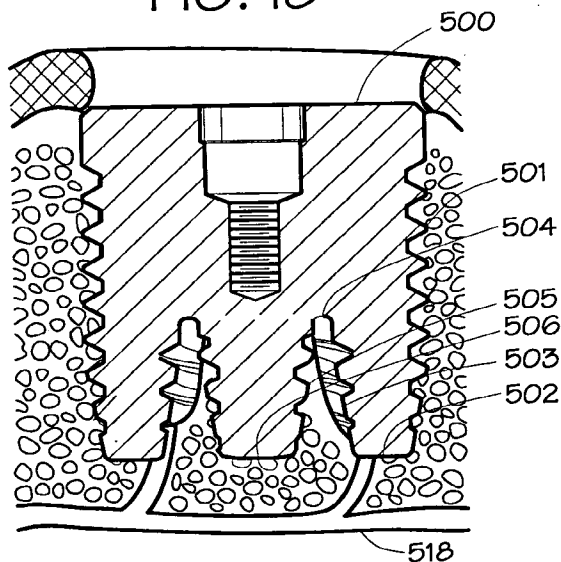


FIG. 21

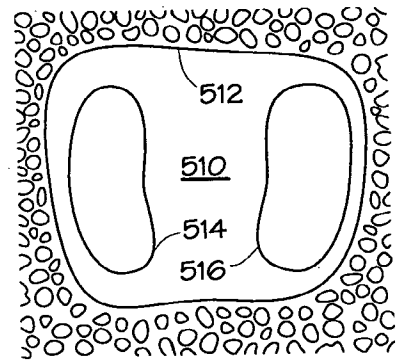


FIG. 17

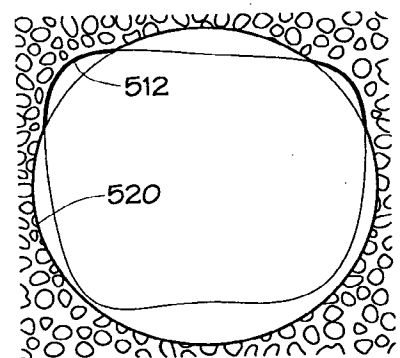


FIG. 19

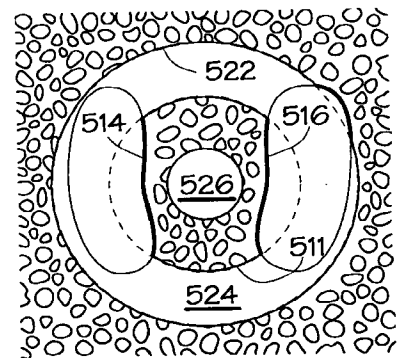


FIG. 20

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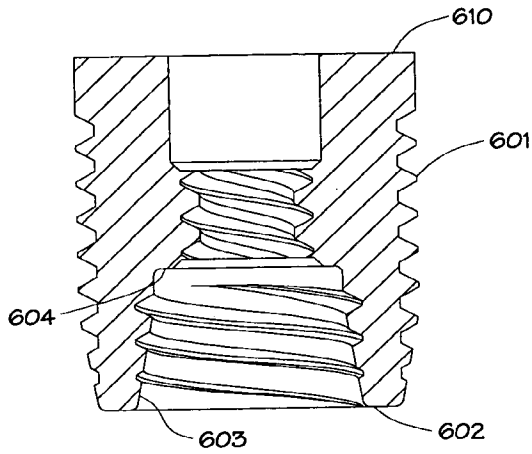


FIG. 22

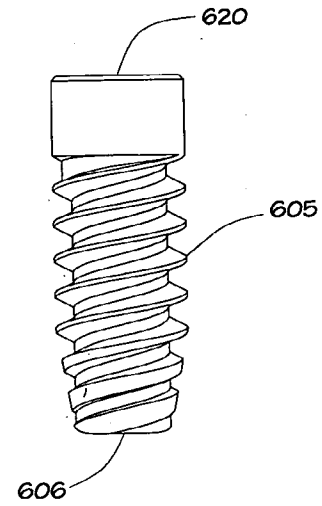


FIG. 23

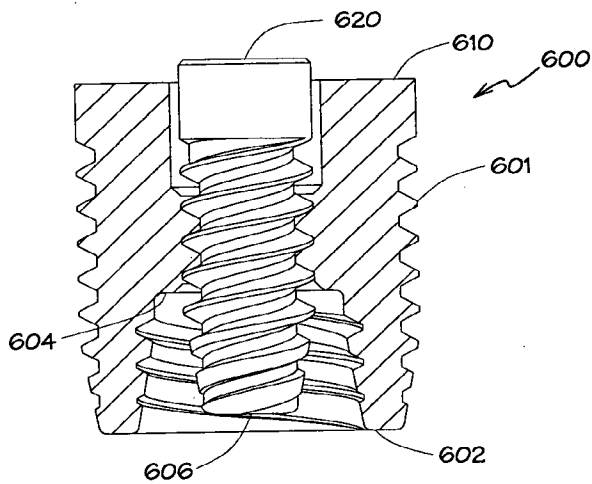


FIG. 24

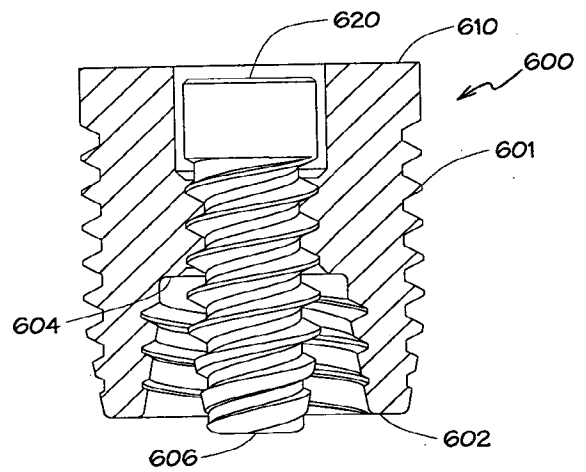


FIG. 25

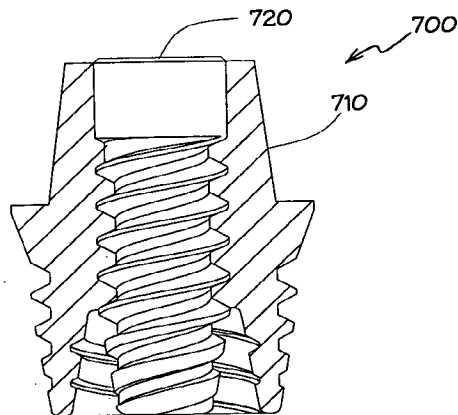


FIG. 26

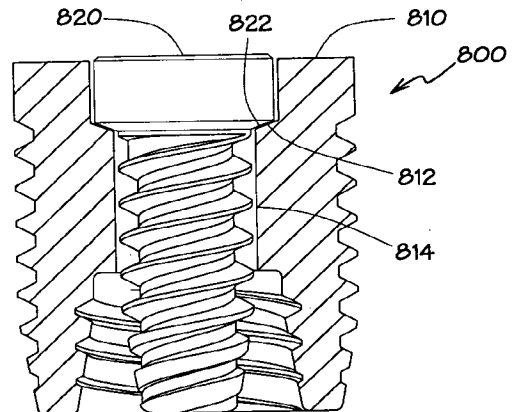


FIG. 27

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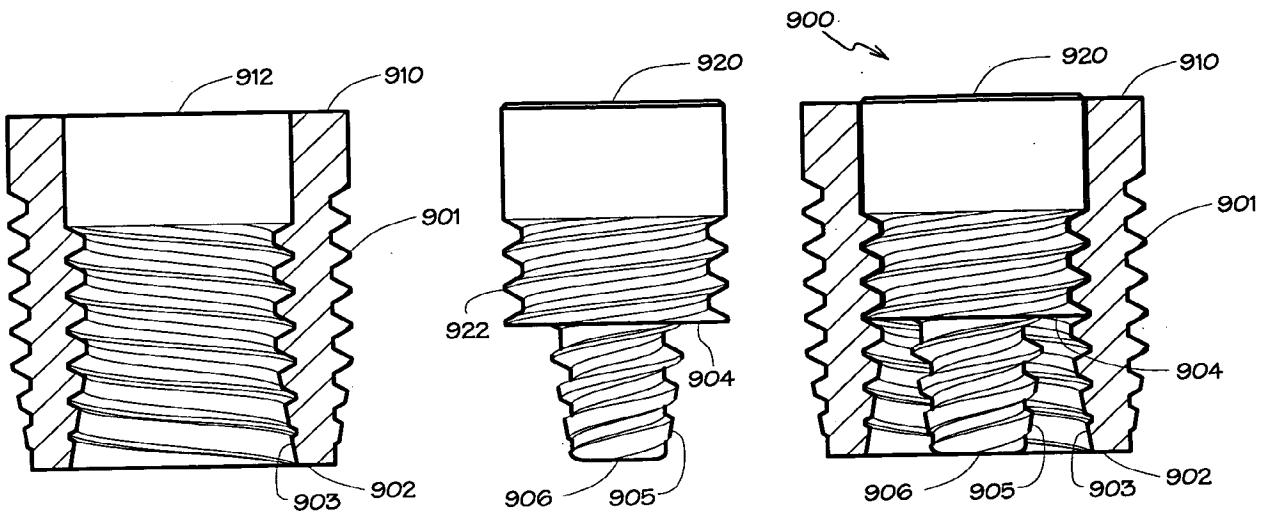


FIG. 28

FIG. 29

FIG. 30

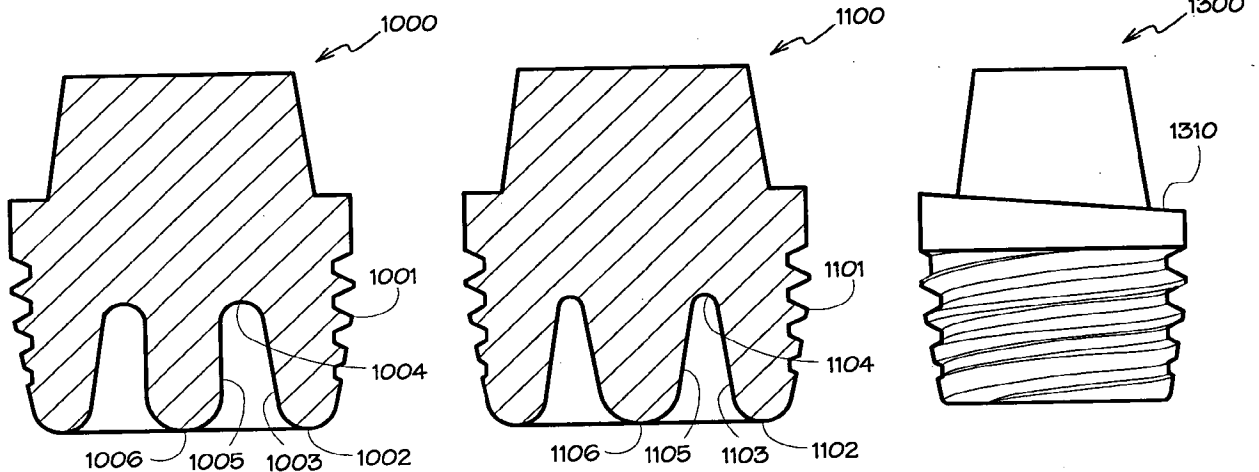


FIG. 31

FIG. 32

FIG. 34

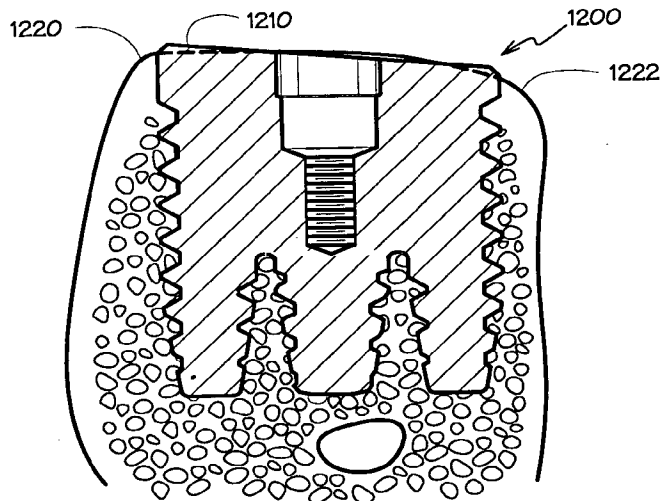


FIG. 33

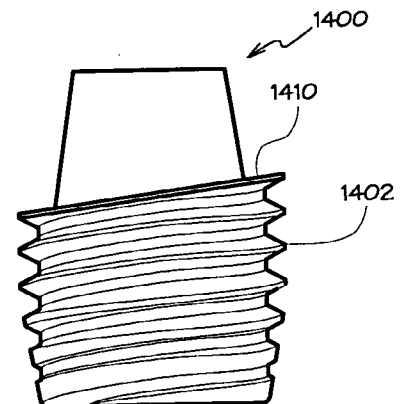


FIG. 35

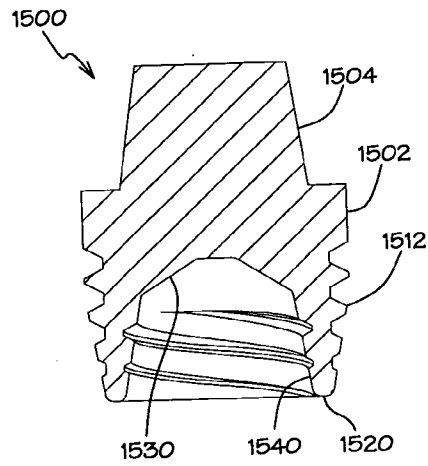


FIG. 36

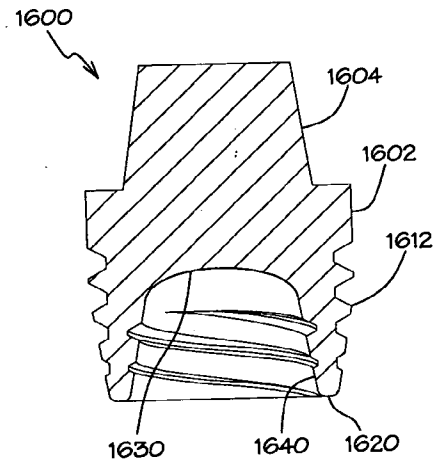


FIG. 37

<b>DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION (37 CFR 1.63)</b>		Attorney Docket Number	2184P002
		First Named Inventor	Stanton R. Canter
		<b>COMPLETE IF KNOWN</b>	
		Application Number	
		Filing Date	
<input checked="" type="checkbox"/> Declaration Submitted with Initial Filing <b>OR</b> <input type="checkbox"/> Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16(e)) required)		Art Unit	
		Examiner Name	

**As a below named inventor, I hereby declare that:**

My residence, mailing address, and citizenship are as stated below, next to my name.

I believe the inventor(s) named below to be the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

<b>ANCHORING ELEMENT FOR USE IN BONE</b>
--

*(Title of the Invention)*

the specification of which

☒ is attached hereto.

OR

☐ was filed on (if applicable):

or \_\_\_\_\_ as United States Application Number \_\_\_\_\_

as PCT International Application Number \_\_\_\_\_

and was amended on \_\_\_\_\_ (if applicable)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claim(s), as amended by any amendment specifically referred to above.

I do not know and do not believe that the claimed invention was ever known or used in the United States of America before my invention thereof, or patented or described in any printed publication in any country before my invention thereof or more than one year prior to this application. I do not know and do not believe that the claimed invention was in public use or on sale in the United States of America more than one year prior to this application, nor do I know or believe that the invention has been patented or made the subject of an inventor's certificate issued before the date of this application in any country foreign to the United States of America on an application filed by me or my legal representatives or assigns more than twelve months (for a utility patent application) or six months (for a design patent application) prior to this application.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, or inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?
			<input type="checkbox"/>	<input type="checkbox"/> Yes <input type="checkbox"/> No
			<input type="checkbox"/>	<input type="checkbox"/> Yes <input type="checkbox"/> No
			<input type="checkbox"/>	<input type="checkbox"/> Yes <input type="checkbox"/> No
			<input type="checkbox"/>	<input type="checkbox"/> Yes <input type="checkbox"/> No
			<input type="checkbox"/>	<input type="checkbox"/> Yes <input type="checkbox"/> No

**Appointment of Practitioners:**

I hereby appoint the practitioners associated with Customer Number: **08791** as my respective patent attorneys and patent agents, with full power of substitution and revocation, to prosecute this application and to transact all business in the U.S. Patent and Trademark Office connected herewith.

If this patent application is assigned, then the undersigned hereby authorizes the patent attorneys and patent agents named herein to accept and follow instructions from the assignee(s) as to any action to be taken in the United States Patent and Trademark Office regarding this application without direct communication between the patent attorneys and patent agents and the undersigned. In the event of a change in the persons from whom instructions may be taken, at least one patent attorney or patent agent named herein will be so notified by the undersigned.

**Correspondence:**

Direct all correspondence to Customer Number **08791**.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

**NAME OF SOLE OR FIRST INVENTOR:** ☐ A petition has been filed for this undersigned inventor

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